MIFID 2 Costs and Charges Information for DMA Europe B.V. / DMA ONE Global Markets Clients

Dear Client,

We are setting out in this letter context on the costs and charges disclosures we make in connection with MiFID II *.

I. Ex-ante information DMA ONE does not provide “Ex-Ante” costs and charges disclosures, as the requirement to provide these disclosures to Professional Clients and Eligible counterparties was removed by the MiFID Quick Fix** for the relevant services provided by DMA Europe B.V.
II. Ex-post costs and charges You may receive an annual “ex-post” costs and charges report (i.e. post-trade or post-service) for certain transactions you have entered into with us. The Costs & Charges information will include costs and charges pertaining to financial instrument costs, any charges in relation to investment services and/or ancillary services (e.g. prime broker fees, research fees etc.); and third-party payments received by DMA Europe B.V.

Yours faithfully,

DMA Europe B.V. – DMA ONE

* MiFID II means Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU and/or UK MiFID, as appropriate, where applicable, and as the context requires.

** MiFID Quick Fix means the Directive (EU) 2021/338 of the European Parliament and of the council of 16 February 2021, amending Directive 2014/65/EU as regards information requirements, product governance and position limits, and Directives 2013/36/EU and (EU) 2019/878 as regards their application to investment firms, to help the recovery from the COVID-19 crisis, and/or for the UK, the Markets in Financial Instruments (Capital Markets) (Amendment) Regulations 2021, amending COBS 6.1ZA